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# **PROVIDER BULLETIN**

Number 10 Volume # 1 http://dmh.mo.gov/dd/

Issue Date: 12/22/2017

# **Comprehensive and Community Support Waivers Clarification**

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The Comprehensive and Community Support waiver amendments are approved by the Centers for Medicare and Medicaid Services (CMS) effective July 1, 2016. This bulletin is to clarify requirements and limitations for career planning, prevocational services, job development, supported employment services, personal assistant (PA) services, and applied behavior analysis (ABA) services

#### <u>Career Planning, Prevocational Services, Job Development, and Supported Employment</u> <u>Service Documentation – Clarification</u>

Providers of all these services must maintain an individualized plan and detailed record of activities by unit of service. The provider is required to follow procedures set forth under 13 CSR 70-3.030, which defines adequate documentation.

#### Personal Assistant (PA) Service Definition – Clarification

The following language was removed from the PA service definition: PA services shall not be provided by an individual's power of attorney.

### **ABA Clarifications**

The language of the limitations has not changed, but is presented in a more user-friendly table format. Two service limitations (Behavior Identification Assessment and Exposure Behavioral Follow-Up Assessment) were inadvertently left out of the previous ABA provider bulletin for the Comprehensive and Community Support waivers and have been included in this bulletin. Provider requirements are also clarified in this bulletin.

# Limitations

Limitations of the Behavior Identification Assessment

One assessment every 2 years unless exception is granted.

### Limitations of the Observational Behavioral Follow-up Assessment:

First 30 minute unit: Limited to 1 per day and a maximum of 5 per week, 5 per year. Additional 30 minute units: Limited to 4 per day and a maximum of 20 per week, 20 per year. All Observational Behavior Follow-Up Assessments must be administered by the Registered Behavior Technician (RBT) under the direction of the Qualified Health Care Professional (QHCP) that is a LBA, or under the direction of a Licensed Assistant Behavior Analyst (LaBA); the service can also be done by the QHCP or LaBA. Units may be used for the development of the initial treatment protocol at 1 unit of the first 30 minutes of Observational Behavior Follow-up Assessment.

## Limitation of the Exposure Behavioral Follow-Up Assessment

First 30 minute unit: Limited to 1 per day, 5 per week, and 10 per year. Additional 30 minute units: Limited to 40 per year. Exposure Behavioral Follow-Up Assessment can be done by the RBT under the direction of the QHCP that is a LBA, or under the direction of a LaBA; the service can also be done by the QHCP or LaBA.

## Limitations of Adaptive Behavior by Protocol by Technician

First 30 minute unit: Limited to 1 per day, 5 per week, and 25 per month. Additional 30 minute units: Limited to 15 per day, 75 per week, and 275 per month. All Adaptive Behavior by Protocol by Technician must be performed by a RBT or LaBA under the direction of a QHCP that is a LBA. This service must be provided concurrent with Adaptive Behavior Treatment with Protocol Modification by a LBA for at least the equivalent of 5% of the total units provided by the RBT.

#### Limitations for Adaptive Behavior Treatment with Protocol Modification

First 30 minute unit: Limited to 1 per day, 5 per week and 25 per month. Additional 30 minute units: Limited to 15 per day, 55 per week and 110 per month. Extensions may be approved by the Department of Mental Health (DMH), Division of Developmental Disabilities' (DD) Chief Behavior Analyst, or designee. Ten percent (10%) of units authorized in a plan year for this service would be appropriately utilized for protocol modification and data analysis. This would require documentation (as with all other units) in addition to the written modified protocol and graphic display, with current data and progress report describing the analysis and effects on intervention strategies related to the analysis.

**Limitations of Exposure Adaptive Behavior Treatment with Protocol Modification** First 60 minute unit: Limited to 1 per day, 5 per week and 25 per month. Additional 30 minute units: Limited to 15 per day, 55 per week and 110 per month. Exposure Adaptive Behavior Treatment with Protocol Modification must receive prior approval by the DMH, DD Chief Behavior Analyst.

#### Limitations of Family Adaptive Behavior Treatment Guidance

60 minute unit: limited to 1 unit per day, 5 per week and 10 per month. In addition, no more than 8 family members/guardians/caregivers can be present for a unit to be billed. This service can be concurrent to any of the other treatment services.

#### Limitations of Adaptive Behavior Treatment Social Skills Group

90 minute unit: limited to 1 unit per day, 5 per week and 10 per month. In addition, no more than 8 individuals can be present for a unit to be billed. This service can be concurrent to any of the other treatment services

#### **Provider Requirements**

ABA services can be provided by a person enrolled in a graduate program for ABA and completing the experience requirements with ongoing supervision by a LBA in the state of Missouri, and who is a contracted provider for the Division of Developmental Disabilities. These services provided by a person as part of the experience requirement and under the supervision of the LBA will be considered as the equivalent of LaBA services for purposes of billing and eligibility to provide particular ABA services.